

Docket No.: 2814/1-44

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

By:  Date: March 1, 2002IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Klaus List et al.  
Appl. No. : 10/045,835  
Filed : January 11, 2002  
Title : Method and Device for Generating an Aerosol  
Art Unit : 1764

LETTERCOPY OF PAPERS  
ORIGINALLY FILED03/12/02

Hon. Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

Sir:

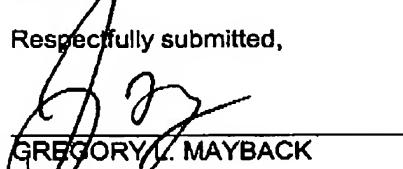
The above-mentioned new patent application was filed on January 11, 2002 without a signed oath or declaration, under the provision of 37 C.F.R. 1.53(f).

In accordance with the above-mentioned rule, enclosed herewith is the original signed declaration as required by the Notice To File Missing Parts Of Application dated February 8, 2002.

The undersigned hereby states that the application filed in the Patent and Trademark Office is the application which the inventor(s) executed by signing the declaration. MPEP 601.01(a).

The fee required for the late filing of an oath or declaration in the amount of \$65.00 is also enclosed.

Respectfully submitted,

  
GREGORY L. MAYBACK  
REG. NO. 40,719

mjb

Date: March 1, 2002  
Lerner and Greenberg, P.A.  
Post Office Box 2480  
Hollywood, FL 33022-2480  
Tel: (954) 925-1100  
Fax: (954) 925-1101



Docket No.: 2814/1-44

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper for Serial No. 10/045,835 is being facsimile transmitted to the Patent and Trademark Office fax number 703-746-4060(OIPE) on the date shown below.

GREGORY L. MAYBACK

Signature

April 24, 2002  
DateOIPE JCT 11  
APR 24 2002  
PATENT & TRADEMARK OFFICEUNITED STATES IN THE PATENT AND TRADEMARK OFFICE

Applicant : Klaus List et al.  
Appl. No. : 10/045,835  
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Title : Method and Device for Generating an Aerosol  
Art Unit : 1764

COPY OF PAPERS  
ORIGINALLY FILED  
03/12/02LETTER

Hon. Commissioner of Patents and Trademarks,  
Washington, D. C. 20231

Sir:

Pursuant to a request made by the United States Patent and Trademark Office, enclosed herewith are copies of the papers filed on March 12, 2002 (certificate of mailing dated March 1, 2002). In accordance with the second paragraph of the Request For Substitute Papers, only a copy of the cover the certified priority document is herewith enclosed with said papers.

If any other documents are required, the Examiner is requested to telephone undersigned counsel.

Respectfully submitted,

GREGORY L. MAYBACK  
REQ. NO. 40,716

Date: April 24, 2002  
Lerner and Greenberg, P.A.  
Post Office Box 2480  
Hollywood, Florida 33022-2480  
Tel: (954) 925-1100  
Fax: (954) 925-1101

/mjb



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER  
UNITED STATES PATENT AND TRADE  
MARK OFFICE  
WASHINGTON

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET
10/045,835	01/11/2002	Klaus List	28141..

LERNER AND GREENBERG, P.A.  
PATENT ATTORNEYS AND ATTORNEYS AT LAW  
Post Office Box 2480  
Hollywood FL 33022-2480

CONFIRMATION

FORMALITIES LETTER



Date Mailed:

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

**BEST AVAILABLE COPY**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file the required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Hannanet Tegbaran

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

*RECEIVED FEB*

Received from <+9549251101> at 4/24/02 10:02:40 AM [Eastern Daylight Time]



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS & TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Art. Div.
10/045,835	01/11/2002	List, Klaus	2814/12

LERNER AND GREENBERG, P.A.  
PATENT ATTORNEYS AND ATTORNEYS AT LAW  
Post Office Box 2480  
Hollywood FL 33022-2480

**BEST AVAILABLE COPY**

Title: Method and device for generating an aerosol

Date Mailed: 04.

**Request for Substitute Papers**

The papers filed on 03/12/02 (certificate of mailing dated 03/01/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

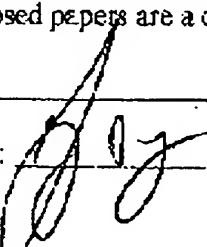
The USPTO requests that applicant provide a copy of the above-identified papers (except for foreign patent documents submitted with the above-identified papers) with a statement that is a complete and accurate copy of the above-identified papers (signing and returning a copy will provide such a statement). The reply to this letter should be submitted to the USPTO at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window, Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply should be sent to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

This letter is not a notice under 37 CFR 1.251. However, failure to timely reply to this letter within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_  
Signature:  Registration No. 40,719  
Date: 4/14/02

Received from <49549251101> at 4/24/02 10:02:40 AM [Eastern Daylight Time]